

AF DSW 2/15/05

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
115274-021

In Re Application Of: **Levergood et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/005,479	January 12, 1998	Patrice L. Winder	24573	2155	2543

Title: **INTERNET SERVER ACCESS CONTROL AND MONITORING SYSTEMS**



Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

- the statement specified in 37 CFR 1.97(e);

**OR**

- the fee set forth in 37 CFR 1.17(p).

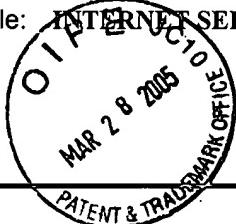
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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Levergood et al.  
Appl. No.: 09/005,479  
Conf. No.: 2543  
Filed: January 12, 1998  
Title: INTERNET SERVER ACCESS CONTROL AND MONITORING SYSTEMS  
Art Unit: 2155  
Examiner: Patrice L. Winder  
Docket No.: 115274-021

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form be made during the course of examination of the above-identified application for United States patent. Pursuant to 37 C.F.R. 1.98, copies of all foreign patent documents and non-patent documents are enclosed.

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Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

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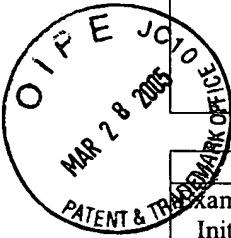
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Dated: March 24, 2005

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**  
(Use several sheets if necessary)

PTO Form 1449

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Examiner:

Date Considered:

\*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609;  
Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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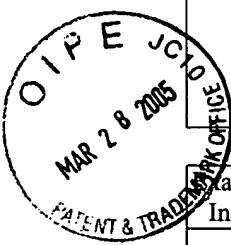
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	EP 0645688	March 29, 1995	EPO				

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	Kiuchi, T., et al., "C-HTTP-The Development of a Secure, Closed HTTP-Based Network on the Internet," 1996 IEEE, pp. 64-75.
	Bemers-Lee, T., et al., "Target a Common Internet Syntax Where the User Password is Appended to a Specific URL," <a href="http://www.ietf.org/rfc/rfc1738.txt?number=1738">http://www.ietf.org/rfc/rfc1738.txt?number=1738</a> .
	S7 USPQ2D, "Amazon.com, Inc. v. Barnesandnoble.com, Inc." pp. 1746-1763.
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	Lim, Jong-Gyun, "Using_Coollists to Index HTML Documents in the Web." <a href="http://www.ncsa.uiuc.edu/SDG/TT94/Proceedings/Search/lim/coollist.htm">http://www.ncsa.uiuc.edu/SDG/TT94/Proceedings/Search/lim/coollist.htm</a> , pp. 1-8.
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<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (Use several sheets if necessary) PTO Form 1449		Atty Docket No. 115274-021	Application No. 09/005,479
		Applicant Levergood et al.	
		Filing Date January 12, 1998	Group 2155

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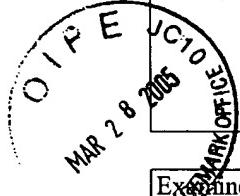
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	Complaint for Patent Infringement filed January 12, 2004.
	Amazon.com's Answer, Affirmative Defenses, and Counterclaims to Soverain Software's Complaint filed March 9, 2004.
	Amazon.com's Response to Plaintiffs First Set of Interrogatories filed June 11, 2004.
	Soverain's Responses and Objections to Amazon.com's First Set of Interrogatories (Nos 1-14) filed June 11, 2004.
	Disclosure of Preliminary Invalidity Contentions by Defendants Amazon.com and the Gap (with Exhibit A) filed July 6, 2004.
	Amazon's Motion for Partial Summary Judgment of Non-Infringement ('780 Patent), and Memorandum in Support Thereof filed July 15, 2005.
	Soverain's Supplemental Responses to Amazon.com's First Set of Interrogatories (nos. 1-14) filed August 13, 2004.
	Soverain's Second Supplemental Response to Amazon.com's First Set of Interrogatories (Nos. 1-14) filed September 21, 2004.
	Soverain's Third Supplemental Response to Amazon.com's First Set of Interrogatories (Nos. 1-14).
	Soverain's Opposition to Defendant Amazon.com's Motion for Partial Summary Judgement of Non-Infringement (780 Patent) and Supporting Memorandum; Declaration of Jack Grimes.
	Amazon.com's Reply in Support of its Motion for Partial Summary Judgment of Non-Infringement (780 Patent) filed August 19, 2004; Declaration of John Vandenberg.
	Soverain's Surreply to Amazon.com's Motion for Partial Summary Judgment of Non-Infringement (780 Patent) filed August 23, 2004 and Supplemental Declaration of Jack D. Grimes Ph.D. filed August 23, 2004.
	Soverain's Preliminary Claim Construction (Patent Local Rule 4-2) filed September 2, 2004.
	Joint Disclosure of Preliminary Claim Construction and Extrinsic Evidence by Defendants Amazon.com and the Gap (with Exhibits A-B) filed September 2, 2004.
	Joint Claim Construction and Prehearing Statement• {Patent Local Rule43} (with Exhibits A-D) filed October 4 2004.
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	Joint Claim Construction Brief of Amazon.com and Gap dated November 30, 2004.
	Soverain's Claim Construction Reply Brief Pursuant to Patent Rule 4-5(c) dated December 7, 2004.

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